

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	<u> </u>	RANSMITTAL LETTER TO	ATTORNEY'S DOCKET NUMBER UCLA 1540-2				
		DESIGNATED/ELECTED	U.S. APPLICATION NO (IFROM), SQ 37 CFR 1.5)				
INITE	_	DNCERNING A SUBMISSIO	N UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE	PRIORITY DATE			
11411		ATIONAL APPLICATION NO. PCT/US2005/010886	March 31, 2005	March 31, 2004			
OR	IENT		METHODS FOR FABRICATING 1	THEREOF			
APPLICANT(S) FOR DO/EO/US Benjamin M. Wu, Michael V. Sofroniew, King-Ning Tu and Yuhuan Xu							
App	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:						
1.	\boxtimes	This is a FIRST submission of item	ns concerning a submission under 35 t	J.S.C. 371.			
2.		This is a SECOND or SUBSEQUE	ENT submission of items concerning a	submission under 35 U.S.C. 371.			
3.	\boxtimes	This is an express request to begin items (5), (6), (9) and (21) indicate	n national examination procedures (35 d below.	U.S.C. 371(f)). The submission must include			
4.	\boxtimes	The US has been elected (Article 31).					
5.	\boxtimes	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))					
	a. b. c.	has been communicated by the	nly if not communicated by the Interna ne International Bureau. tion was filed in the United States Rec	,			
6.		An English language translation of	f the International Application as filed (35 U.S.C.			
	a. b.	☐ is attached hereto.☐ has been previously submitted	d under 35 U.S.C. 154(d)(4).				
7.	☒	<u>.</u>					
	 a. □ are attached hereto (required only if not communicated by the International Bureau). b. □ have been communicated by the International Bureau. c. □ have not been made; however, the time limit for making such amendments has NOT expired. d. ⋈ have not been made and will not be made. 			ational Bureau).			
8.			f the amendments to the claims under	PCT Article 19 (35 U.S.C. 371(c)(3))			
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned)					
 10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under Polyticle 36 (35 U.S.C. 371 (c)(5)). 		· ,					
Items 11 to 20 below concern document(s) or information							
11.	. 🗆	An Information Disclosure Statem	nent under 37 CFR 1.97 and 1.98.				
12.	. 🗆	An assignment document for recor	rding. A separate cover sheet in comp	liance with 37 CFR 3.28 and 3.31 is included.			
13.	. 🖾	A preliminary amendment.					
14.	. 🗆	An application Data Sheet under 3	37 CFR 1.76.				
15.	. 🗆	A substitute specification.					
16.	. 🗆	A power of attorney and/or change	e of address letter.				
17.	. 🗆	A computer-readable form of the s	sequence listing in accordance with PC	T Rule 13 <i>ter.</i> 2 and 35 U.S.C. 1.821 - 1.825.			
18.	. 🗆	A second copy of the published In	ternational Application under 35 U.S.C	. 154(d)(4).			
19.	. 🗆	A second copy of the English lang	uage translation of the International Ap	oplication under 35 U.S.C. 154(d)(4).			

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known see 37 OFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER <u>"</u> J C VI. PCT/US2005/010886 UCLA1540-2 Other items or information: Certificate of Mailing under 37 CFR 1.10 (EV 841 623 320 US); International Search Report; Check No. 583246in the amount of \$1,280.00; Return Receipt Postcard. The following fees are submitted: CALCULATIONS PTO USE ONLY Basic national fee (37 CFR 1.492(a)) 21. \$ \$300.00 Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article All other situations. . \$200 S \$200.00 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority

International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400 \$100.00 TOTAL OF 21, 22 and 23 = \$600.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) - 100 = /50 = 19 0 \$250.00 \$ \$0.00 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). \$130.00 **CLAIMS** NUMBER FILED NUMBER EXTRA RATE Total claims 31 - 20 = 11 \$50.00 \$ х \$550.00 Independent - 3 = 0 \$200.00 \$ \$0.00 х Multiple Dependent Claims (if applicable) \$360.00 \$ TOTAL OF ABOVE CALCULATIONS \$ \$1,280.00 ☐ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ \$0.00 \$ \$1,280.00 SUBTOTAL Processing fee of \$130.00 for furnishing the English translation later than 30 months \$ from the earliest claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE** \$ \$1,280.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per \$ **TOTAL FEES ENCLOSED =** \$ \$1,280,00 Amount to be \$ refunded: Amount to be \$ charged:

APTELLULIUM 1014 SEP 2006

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a. 🗵 A check in the amount of \$1,280.00	to cover the above fees is enclosed.					
b. Please charge my Deposit Account	in the amount of	to cover the above fees.				
A duplicate copy of this sheet is enclosed.						
c. X The Commissioner is hereby authorized to cha	. 🗵 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment					
to Deposit Account 07-1896 .	A duplicate copy of this sheet is enclo	sed.				
d. Fees are to be charged to a credit card. WARN information should not be included on this	IING: Information on this form may bed form. Provide credit card information a	come public. Credit card authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Lisa A. Haile, J.D., Ph.D. DLA Piper US LLP						
4365 Executive Drive, Suite 1100	SIGNATURE					
San Diego, CA 92121-2133						
USPTO Customer No. 28213	Lisa	A. Haile, J.D., Ph.D.				
	NAME					
September 14, 2006		38,347				
	REGISTRATIO	ON NUMBER				

PATENT ATTORNEY DOCKET NO.: UCLA1540-2

Certificate of Mailing under 37 CFR § 1.10

"EXPRESS MAIL" Mailing Label Number: <u>EV 841 623 320 US</u>
Date of Deposit: <u>September 14, 2006</u>

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to:

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Enclosures:

- 1. Certificate of Mailing under 37 CFR § 1.10 (1 pg);
- 2. Form PTO-1390 (3 pgs in duplicate);
- 3. Preliminary Amendment (3 pgs);
- 4. Declaration (unsigned) (4 pgs);
- 5. Copy of International Search Report (4 pgs);
- 6. Check No. 583246 in the amount of \$1,280.00; and
- 7. Return Receipt Postcard

Josh Gibbs

Name of Person Mailing Papers

Signature